

03/06/00
 3c777 U.S. PTO

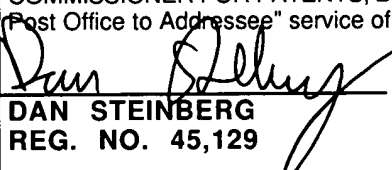
09/519165

UTILITY PATENT APPLICATION TRANSMITTAL	Attorney Docket No. ACT-111	Total Pages 30
	First Named Inventor DAVID W. SHERRER	
	Title SINGLE MASK LITHOGRAPHIC PROCESS FOR PATTERNING MULTIPLE TYPES OF SURFACE FEATURES	

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APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (two copies)	8. <input type="checkbox"/> Assignment cover sheet and document(s)
2. <input checked="" type="checkbox"/> Specification Total Pages: [] 22	9. <input checked="" type="checkbox"/> Power of Attorney by Assignee [] with CFR 3.73(b) statement
3. <input checked="" type="checkbox"/> Drawing(s) Total Sheets: [] 8	10. <input type="checkbox"/> English Translation Document
4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages: []	11. <input checked="" type="checkbox"/> IDS/PTO-1449 <input checked="" type="checkbox"/> with copies of cited references
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	12. <input type="checkbox"/> Preliminary Amendment
b. <input type="checkbox"/> Copy from a prior application 1.63(d) (complete Box 17 and note Box 5 below)	13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
i. <input type="checkbox"/> Signed statement deleting inventors named in the prior application, see CFR 1.63(d)(2) and 1.33(b)	14. <input checked="" type="checkbox"/> Small Entity Statement [] Statement filed in prior application Status still proper and desired
5. <input type="checkbox"/> Incorporation by Reference (if 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated therein by reference.	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
6. <input type="checkbox"/> Microfiche Computer Program (Appendix)	16. <input type="checkbox"/> Other:
7. <input type="checkbox"/> Nucleotide/Amino Acid Sequence Submission (all the following are necessary)	
a. <input type="checkbox"/> Computer Readable Copy	
b. <input type="checkbox"/> Paper Copy (identical to computer copy)	
c. <input type="checkbox"/> Statement verifying identity of above copies	
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> continuation <input type="checkbox"/> divisional <input type="checkbox"/> CIP ...of prior application No.: []	

18. CORRESPONDENCE ADDRESS					
NAME	DAN STEINBERG ACT MICRODEVICES, INC				
ADDRESS	7586 PEPPERS FERRY LOOP				
CITY	RADFORD	STATE	VA	ZIP CODE	24141
COUNTRY	USA	TELEPHONE	(540) 639-1986, EXT 26	FAX	(540) 639-2246

Certificate of Mailing by "Express Mail"	
I hereby certify that I am mailing this correspondence on the date indicated below to the ASSISTANT COMMISSIONER FOR PATENTS, BOX PATENT APPLICATION, WASHINGTON, DC 20231 using the "Express Mail Post Office to Addressee" service of the United States Postal Service under 37 CFR 1.10.	
 DAN STEINBERG REG. NO. 45,129	DATE OF MAILING: March 6, 2000 EXPRESS MAIL LABEL NO: Ek500459603US

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) – SMALL BUSINESS CONCERN

Application No.: not yet assigned
 Filing Date: filed herewith
 Applicant(s): David W. Sherrer and gregory A. TenEyck
 Title: Single Mask Lithographic Process for Patterning Multiple Types of Surface Features

I hereby declare that I am the owner of, or an official empowered to act on behalf of, the entity identified below:

Name of Concern: **ACT MicroDevices**
 Address of Concern: **7586 Peppers Ferry Loop
 Radford, VA, 24141**

I hereby declare that the concern identified above qualifies as a small business concern as defined in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention identified above and described in the application for Letters Patent filed herewith.

If the rights held by the concern identified above are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name:		<input type="checkbox"/> Individual
Address:		<input type="checkbox"/> Small Business Concern
		<input type="checkbox"/> Nonprofit Organization


Name:		<input type="checkbox"/> Individual
Address:		<input type="checkbox"/> Small Business Concern
		<input type="checkbox"/> Nonprofit Organization

I acknowledge the duty to file, in this application for patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

ASSIGNEE: ACT Microdevices, Inc.
 7586 Peppers Ferry Loop
 Radford, VA, 24141

Official Authorized to Act on Behalf of Assignee:

Signature:  3-3-00
 Name: David W. Sherrer Date
 Title: President

FEE TRANSMITTAL

Application Number:	Not yet assigned
Filing Date:	Filed herewith
First Named Inventor:	David Sherrer
Title of Invention:	Single Mask Lithographic Process for Patterning Multiple Types of Surface Features
Group Art Unit:	Not yet assigned
Examiner:	Not yet assigned
Attorney Docket No.:	ACT-111

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Fee Calculation:

for ☐ Large Entity / ☒ Small Entity.

Basic Billing Fee:

<input checked="" type="checkbox"/> Utility Patent Application:	\$690 / \$345	\$ 345
<input type="checkbox"/> Provisional Patent Application:	\$150 / \$75	\$

Claims:

<input type="checkbox"/> Number of Total Claims Over 20: <input type="checkbox"/>	x \$18 / \$9 =	\$
<input type="checkbox"/> No. of Independent Claims Over 3: <input type="checkbox"/>	x \$78 / \$39 =	\$

Other Fees:

<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
<input type="checkbox"/> Extension of time, 2 months	\$380 / \$190	\$
<input type="checkbox"/> Extension of time, 3 months	\$870 / \$435	\$
<input type="checkbox"/> Extension of time, 4 months	\$1360 / \$680	\$
<input type="checkbox"/> Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$
<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
<input checked="" type="checkbox"/> Recordation of Assignment Document	\$40	\$ 40
<input type="checkbox"/> Issue Fee	\$1210 / \$605	\$
<input type="checkbox"/> Printed Patent; Number of Copies: <input type="checkbox"/>	x \$3 =	\$

TOTAL PAYMENT: \$ 385

Method of Payment:

☒ Payment Enclosed
☒ Check

Signature of Applicant, Attorney, or Agent

Dan Steinberg
Dan A Steinberg, Reg. No. 45,129

March 6 2000
Date